

Regulatory reform: what you need to know

Chair: Clive Myrie

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Our Standards and Regulations

Juliet Oliver, General Counsel, SRA

Our reforms



Focus on standards, but without prescription



Getting rid of unnecessary bureaucracy



Short, user-friendly

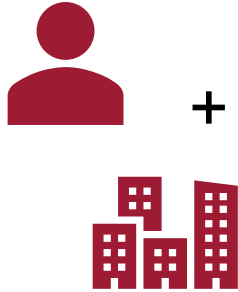


Greater freedom about where and how solicitors can practise

SRA Standards and Regulations



Revised
principles



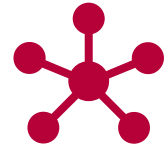
New codes
of conduct
for
individuals
and firms



Enforcement
Strategy



Simplified
Accounts
Rules

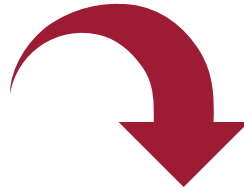


New
practising
flexibility

Principles



Apply to all
solicitors



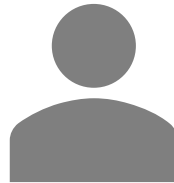
Ten principles
down to seven



Honesty and
integrity separate



Code for individuals:
applies to all solicitors, whoever they are and wherever they work



Code for firms:
Sets out standards and business controls expected of firms



No indicative behaviours

Enforcement Strategy



Clarity for the public and the profession



Why and when we will take action



Mitigating and aggravating factors



Enforcement in practice guides

New Accounts Rules



Reduced prescription



Clearer, sharper rules that set standards we expect



Core protections remain – focus on keeping client money safe



Solicitors able to offer reserved services to the public as “freelancers”



Solicitors able to offer non-reserved legal services to the public in a non-regulated organisation

Preparing for 25 November

Cultural shift,
less
prescription



Enforcement
Strategy and
reporting



Reviewing
systems and
processes



Staff
understand
changes



Clickable
logo on
website



Guidance and support



One stop shop
[sra.org.uk/
newregs](https://sra.org.uk/newregs)



Online Standards
and Regulations
beta.sra.org.uk



Professional
Ethics helpline

Better information

Jane Malcolm, Executive Director,
External and Corporate Affairs, SRA

Better information: the problem



Competition and Markets Authority – lack of information a problem for public and small businesses



People want to shop around – but only 1/5 firms were publishing price information



Not just price – want range of information to inform choices

Better information: our approach

Us



Solicitors Register



SRA clickable logo

Firms - from December 2018



Price and description
of services



How to complain
(including to LeO)

Clickable logo

- Help verify your firm is regulated, promote protections
- 4 in 10 firms already using
- Mandatory from 25 November



REGULATED BY
**SOLICITORS
REGULATION
AUTHORITY**

▶ LEARN MORE

The Solicitors Register



Online register of everyone we regulate



Data drawn from mySRA



Validation tool - no commercial element



Further development later 2019/2020

Developing the Solicitors Register

- Developed with 2000+ users
- Public YouGov surveys
- Ongoing input from the profession, including Evolve group



BETA This is a trial service – your [feedback](#) will help us improve it.

Solicitors Register

Look up the record of the law firms and solicitors we regulate

[All](#)[Firm](#)[Person](#)[Disciplinary record](#)

 Search with the all tab to see both firm and person results

About the register

- Use this service to check the **firms** and **people** we regulate to provide legal services in England and Wales.
- Search by name or SRA number (6 digit number found on a solicitors letterhead or website).
- The register also includes firms that have been closed down by us and people who are prohibited from working as a solicitor.

Alexander



All

Firm

Person

Disciplinary record

Displaying **5** of **247** person results for **'Alexander'**



Abigail Alexander

✓ SRA-regulated solicitor

Works at

Hannah Alexander Solicitors + 3 others



Abigail Alexander

✓ SRA-regulated solicitor **Conditions apply**

Works at

Robin Law



Alan Alexander

⚠ Prohibited



Alison Alexander

✓ SRA-regulated solicitor, not practising



Amber Alexander

✓ SRA-regulated solicitor, not practising

Show 50 more people (247 to view)



Helen Alexander

✓ SRA-regulated solicitor

Authorisation	Admitted on 10/11/88. Annual practising certificate from 31/10/18
Type of lawyer	Solicitor
Regulator	Solicitors Regulation Authority (SRA)
SRA number	937951
Disciplinary record	Clear record under our publication policy

🕒 Last updated on 11/06/19

Where this person works



The services this person can provide and the protections depend on where this person works.



Helen Alexander LLP

✓ SRA-regulated firm

Head office
London + 12 more



Helen Alexander

✓ SRA-regulated individual

Based in
London



Helen Alexander Digital IP

? Not an SRA-regulated firm

Head office
Birmingham



Helen Alexander Solicitors

✓ [SRA-regulated firm](#)

Head office address	London + 15 other offices View contact details
Type of firm	Recognised sole practice since 15/05/88, authorised for all legal services
Regulator	Solicitors Regulation Authority (SRA)
SRA number	196314
Disciplinary record	Clear record under our publication policy

We set the rules for this firm. There are benefits and protections for clients who use SRA-regulated firms.

[Learn more](#) ✓

🕒 Last updated on 11/06/19

[Open all](#)

Firm names (2)



Offices (4)



SRA-regulated people and roles (660)



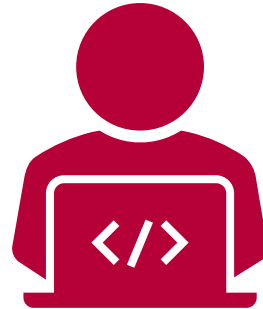
Legal services at this firm (6)



This firm's disciplinary record



What next...



Make sure your information in mySRA is up to date!

SQE and continuing competence

Julie Brannan, Director of Education and
Training, SRA

What is the SQE?



SQE: the benefits



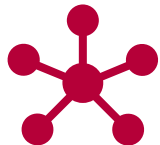
Consistent standards



Transparency about training quality



Wider recruitment options



Flexibility for firms and students

Qualifying work experience (QWE)

- In law firms, sign off by the COLP (or any other solicitor)
- No longer requirement for Training Principal - can keep the role in your firm if you want
- You are signing off QWE has been completed - not that the standard has been met (SQE assesses competence)

Where we are...

Development

Testing
and piloting

Approvals

Go live

2017

2018

2019

2020

2021



Continuing competence



Maintaining high professional standards



Safe to practise by keeping skills and knowledge up to date



But.....flexibility in how this is done

Advocacy consultation



Introduction of revised standards for Higher Rights of Audience (HROA)



Creation of single, centralised HROA assessment



More online resources – support advocacy skills